

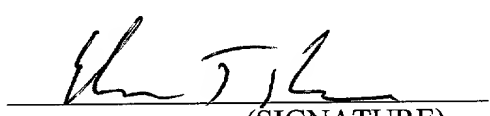
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PATENT APPLICATION
Attorney's Do. No. 8371-104

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Box Patent Application
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Enclosed for filing is a patent application under 37 CFR 1.53(b) of: John Charles Dalrymple
entitled METHOD OF IMPROVING START-UP BEHAVIOR FOR COLOR ERROR
DIFFUSION DIGITAL HALFTONING

This application is a [] continuation, [] divisional, [] continuation-in-part of prior
application Serial No. _____.

Enclosures:

- ☒ Specification (pages 1-8); claims (pages 9-10); abstract (page 11)
- ☒ 1 sheet of formal drawings
- ☒ Declaration or Combined Declaration and Power of Attorney
 - ☒ Newly executed
 - ☐ Copy from a prior application (37 CFR 1.63(d))
 - ☐ Incorporation by Reference--The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
 - ☐ Deletion of Inventors (signed statement attached deleting inventor(s) named in the prior application (37 CFR 1.63(d)(2) and 1.33(b))
- ☐ Power of Attorney
- ☒ Assignment with cover sheet

- ☐ Certified copy of priority document:
☐ Information Disclosure Statement with Form PTO 1449
☐ Copies of references listed on attached Form PTO-1449
☐ Preliminary Amendment

<u>CLAIMS AS FILED</u>				
For	Number Filed	Number Extra	Rate	Basic Fee \$690.00
Total Claims	15-20	0	x \$ 18.00 =	
Independent Claims	3-3	0	x \$ 78.00 =	
Multiple Dependent Claim Fee			x \$260.00 =	
TOTAL FILING FEE				\$690.00

- ☐ Cancel in this divisional application original claims _____ of the prior application Serial No. _____ before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

☒ A check in the amount of \$730 to cover ☒ filing fee (\$690) and ☒ assignment recordal fee (\$40) is enclosed.

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**METHOD OF IMPROVING START-UP BEHAVIOR
FOR COLOR ERROR DIFFUSION DIGITAL HALF-TONING**

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BACKGROUND

Related Applications

This application is a continuation of, and claims priority to, US Provisional Patent
10 Application 60/160,360, filed October 18, 1999.

1. Field

This invention relates to printing systems using digital halftoning, more particularly to
printing systems using digital halftoning with error diffusion.

2. Background

15 Digital printers receive image data structured as a series of digital numbers that
represent spatial samples of an analog image. Typically, there is a corresponding relationship
between these samples and the physical dots to be printed. For example, a 600 dots-per-inch
(dpi) printer will have 600 samples of data per lineal inch of the physical substrate, or a 600 x
600 square grid of samples to cover a square inch of the substrate (when producing a
20 monochrome image). Each sample is represented via a predetermined number of bits, which
determine the available shades of gray for the printer. An 8-bit sample, for example, can
represent values between 0 and 255, which in turn can represent 0% coverage (i.e., no ink,
toner, dye etc. is deposited at this location) and maximum coverage (the maximum physically
realizable amount of ink, toner, dye etc. is deposited) respectively.

25 Some digital printers are capable of outputting varying amounts of their colorant
material, from none up to a maximum density. "Bitonal" digital printers can only print a dot
of a single density at each sample position, referred to as picture elements (pixels) on the
printed image, or print nothing at said dot position. The simplest bitonal printers in the prior
art have used a threshold to determine whether a dot is printed. At each sample location, if
30 the value of the sample is above the threshold, the dot is printed. If the value of the sample is
below the threshold, the dot is not printed. The threshold may be constant over the whole
image, or may be variable based on position or other methods as practiced in the prior art.

This approach may lead to inaccurate images, since the actual values printed
correspond to either 0, when no dot is printed, or 255 when a dot is printed. This assumes an
35 8-bit value for each sample. The actual value of the pixel may have been much different. For

example, the threshold may be set at the pixel value 128. If a pixel has a value of 127, no dot is printed. The error between the actual value printed, 0, and the value desired 127, is fairly large. This can lead to discontinuities and artifacts in the printed image.

A prior-art technique referred to as error diffusion offers a solution to this problem.

5 The difference between actual printed and desired values is divided up and passed on to neighboring pixels, in what will be referred to as standard error diffusion. Using the example above, the error value of 127 will be passed or diffused to neighboring pixels yet to be rendered in the processing stream. Typically, neighboring pixels receive a set proportion of the error value. A typical scheme is to assign the value in proportions of 1/16, 3/16, 5/16 and
10 7/16. In this example, the value of 127 would be multiplied by these ratios and the resulting number would be added to the desired pixel value for the neighboring pixel.

If the error value were 8 and the desired pixel value were 121, the resulting pixel value would be 129. Note that this pixel would have a dot printed after the addition of the error value whereas it would not have had one printed without the error. This approach is
15 referred to as standard, or Floyd-Steinberg, error diffusion. The error is pushed from the current pixel to subsequent pixels. This approach was originally disclosed in by R.W. Floyd and L. Steinberg in "An Adaptive Algorithm for Spatial Grayscale," *Proceedings of the Society for Information Display*, vol. 17, no. 2, pp. 75-77, 1976.

An alternative error diffusion algorithm is referred to as back error compensation. In
20 this method, the error value is computed by summing weighted values already computed at pixel locations previously processed. The summation results in an error value added to the desired value. The resulting value is then used to print a dot or not, and an error value is computed for that pixel and stored. In this approach, the error value for a given pixel is pulled from neighboring pixels.

25 These two approaches have been demonstrated to be mathematically equivalent. Other variations of each of these approaches are well known in the art. The detailed behavior of the dot patterns produced by these methods depends upon the initial error values, referred to herein as seed values.

If the image is monochrome, there will only be one set of seed values, those necessary
30 to provide values for the process used to compute the error value. For color systems, there will be a set for each color, typically cyan (C), magenta (M), yellow (Y), and black (K). Alternatively, some systems use only CMY.

As discussed above, the current pixel value is a sum of the error value and the desired pixel value. The error value is either pushed to subsequent pixels or pulled from previous pixels. The process used to compute the current pixel value, that value that will actually be compared to the threshold, does not vary even if there are no previous pixels from which to obtain error values. These pixels use the seed values as their error values.

The first part of the printed image processed will be heavily influenced by the values of these seed values. They will affect the initial phase of the resulting dot pattern in the halftone image. This is especially true when a large uniform light area exists at the first part processed. A quasi-periodic artifact could appear in this area, because of phased-locked output patterns. Another difficulty can occur as coincident dot patterns. The human eye resolves two colored dots side by side as one colored dot that is a blend of the two. The eye is more sensitive to lightness variations than to colorfulness or hue variations. In lightly tinted regions, dot distributions of multiple colors that are jointly uniformly spatially distributed will produce more total dots per square inch and result in a less grainy appearance than is produced by coincident dot patterns that result in the same visual lightness. Furthermore, coincident dot patterns use subtractive mixture to produce secondary colors, and this process results in lower lightness and lower colorfulness than is obtained with the additive mixture that occurs when dots are side by side.

If in a color printing system, identical CMY (K) error diffusers having identical seed values are run independently of each other, and if in the first part of the printed image, any two or more of the color primaries happen to have equal tint levels, then the dot patterns generated for said primaries will be coincident. Therefore, a method is needed that manipulates the seed values to avoid objectionable artifacts in digital printed images.

SUMMARY

One embodiment of the invention is a method for initializing the contents of the error buffers in a color printing system using error diffusion. A first set of seed values is generated from random numbers for one of the colors. For color systems, at least one of the other colors use seed values negatively correlated with respect to the first set of seed values. All of the error buffers are used to start the error diffusion process used by the printing system.

BRIEF DESCRIPTION OF THE DRAWINGS

The invention may be best understood by reading the disclosure with reference to the drawings, wherein:

Figure 1 shows an example of a pattern resulting from prior art implementations of error diffusion.

Figure 2 shows an example of a pattern resulting from implementations of error diffusion in accordance with the invention.

Figure 3 shows a flow chart of one embodiment of a method for initializing the error buffers in a printing system, in accordance with the invention.

DETAILED DESCRIPTION OF THE EMBODIMENTS

As discussed previously, most error diffusion processes rely upon existing error values to compute the current pixel value from the sum of the desired value plus the error. The error may have been diffused outward ("pushed") from previously processed pixels to subsequently processed pixels, including the current pixel, or is pulled from previously processed pixels when processing the current pixel. The current output pixel value (1 for a dot, or 0 for no dot) is influenced by previous error values and the current image pixel value. When the image is not very "busy," the primary influence is the error values. When the image is quite "busy" and contains a lot of random values throughout the whole dynamic range, the effect of the initial error values is obscured by the effect of the image values. But in the case of a light constant background, as often occurs in computer generated images, the output dot phase is strongly influenced by the error values used at initialization of the process.

Application of the invention is equally useful in single color printing systems, usually black, as well as monochrome. The discussion below will cover both applications, but will address color systems first. Error diffusion and its variants have been used in color halftoning systems. These systems perform halftoning of color images such as cyan (C), magenta (M), yellow (Y) and black (K) process color separations.

Monochrome printing systems use only one color ink or toner to achieve shades of that color, typically black and shades of gray. A problem that occurs with the current error diffusion approaches appears. For example, a large uniform light area in the first area printed will have a dot pattern phase throughout the entire area that depends upon the seed, or initial, error values. Using one of the current error diffusion approaches with no adjustment for this dependence results in periodic patterns that become noticeable in these types of regions in the

first area being printed. Note that the first area being processed and printed will more than likely be the top of the image.

Application of the invention can mitigate these problems by initializing the error buffer values to be random or pseudo-random values. These tend to break up any of the periodic patterns that may otherwise detract from image quality. For a light tint, for example, the probability that a dot (a 1) will be output increases monotonically with the magnitudes of error residues in the set of pixels whose residues affect the current pixel. If the initial values of the error buffer are made to be larger than typical seed values, dots are more likely to be produced sooner when a transition occurs between a zero image region and a nonzero image region. This reduces the impact of the error diffusion process's startup transient on output image quality.

In addition, if the error values in the buffer are generated as random numbers, any periodic patterns will be mitigated or avoided. Therefore, for a single-color grayscale system, application of the invention will include generating a set of random numbers and controlling the selection of the numbers to be relatively large prior to loading the numbers in the error buffer for initialization.

In color systems, application of the invention will also include generation of random numbers. In the current state of the art, error diffusion and all its variation can be applied to systems performing halftoning of color images, such as CMYK process color separations. In the simplest of such systems, the same algorithm is applied to each color separation independently of the others. In these simplest systems, the error buffers are initialized to a constant value that is the same for all separations.

This uniformity results in phase-locked output dot patterns when two or more separation images happen to have equal tint levels. The worst case is referred to as coincident dot patterns. Coincident dots are those dots of different primaries that occur at the same pixel position. An example of one is shown as 14 in the printed image 10 of Figure 1.

Color systems rely upon the integration properties of the human eye. Digital output devices have picture elements, or pixels, that form the image. Small pixels of different colors are resolved into one dot. The eye will resolve a pair of coincident colored dots as a blend of the two colors. More dots of one color will be resolved as a dot of a darker shade of that color.

As can be seen in the image 12 on printed document 10 Figure 1, the coincident dots of C M Y at 14 will appear as the subtractive combination of the colors if the inks are

transparent, not the desired color. With opaque inks, the dot will appear as the last color applied. In areas in which there are equal amounts of the colors this problem becomes very noticeable, especially in light areas. For example, the coincident dot pattern was made to occur by using the following inputs to a halftoning algorithm for a constant region: 1% C; 1% M; and 1% Y.

Application of the invention would result in dot patterns in the pixels such as the one shown at 22 in Figure 2 at pixel 22. These illustrations are merely for explanation of the effect of the invention, and are not meant as actual results. In this pixel, as well as the other pixels in the printed image 12, the initial error buffer values were generated using random noise or pseudo-random noise. As pseudo-random noise is statistically similar to true random numbers, both of these approaches will be referred to as random number generation or random numbers.

A flowchart of one embodiment of the invention using random numbers is shown in Figure 3. At 30 a first set of seed values are generated. These seed values would typically be generated from a random number or noise generator. In the case of pseudo-random noise, the numbers may be manipulated to achieve other effects, as discussed above in the monochrome printing application.

To better mitigate any possible phase relationship between the colors, as demonstrated in Figure 1, the contents of the error buffers will be negatively correlated at 32. This will decrease the likelihood of coincident dots occurring at the first printed area of the image. The negative correlation can be performed on all four colors, on all color but black, or on only two colors. In one embodiment of the invention, only the error buffers for C and M are negatively correlated with an out-of-phase relationship.

The use of the term "out-of-phase" is somewhat of a misnomer. For example, to provide negative correlation of the cyan and magenta error buffers, the error values used to seed the cyan buffer are multiplied by a negative number, such as -1 (and possibly also offset by a constant) to create the magenta seed values. Creation of three buffers that are pairwise negatively correlated requires a bit more computation.

For example, by starting with two generated random variables R_1 and R_2 , which are independent and uniformly distributed on the unit interval, it is possible to create three normally distributed random variables X_1 , X_2 and X_3 , according to the following relationships:

$$X_1 = \sqrt{-2\ln R_1} \cos(2\pi R_2),$$

$$X_2 = \sqrt{-2\ln R_1} \cos(2\pi(R_2 - 1/3)),$$

$$X_3 = \sqrt{-2\ln R_1} \cos(2\pi(R_2 - 2/3)).$$

This results in three sets of values out-of-phase with each other by 120°, if the phase relationship analogy is continued. The negative correlation between the numbers in the error buffers will control the behavior of the pixels at the top of an image to eliminate or mitigate artifacts caused by error diffusion. These three random variables X_1 , X_2 and X_3 are pairwise negatively correlated. In seeding three error buffers, for each buffer location, values of R_1 and R_2 are first generated. Then, X_1 , X_2 and X_3 are computed as shown above. Finally, X_1 is loaded into the current location in the first buffer, X_2 into the current location in the second buffer, and X_3 into the current location in the third buffer. This process is repeated until all the buffer locations have been filled.

In the monochrome example, where only one set of seed values are needed, the buffer may be seeded with values such that at least one of the values varies numerically from the other values in the buffer. In a color example, each buffer may be seeded with a constant, as occurs in the current art. However, in the current art, each buffer is seeded with a set of values where each value is a replica of all of the other values. In the application of the invention, each buffer may be seeded with replicas of the same constant value, but each buffer will have a different value used from the other buffers.

Once the necessary numbers and their relative relationships are provided, they are loaded into the error buffers at 34. The loading of the buffers may be done in one of several ways. In a hardware implementation, the numbers could be predetermined during system build and the error buffers would be hard wired to load the desired numbers at system power on and initialization. The system processor may be directed to load a predetermined set of numbers from nonvolatile memory during system initialization. Alternatively, the system processor may compute the numbers at system initialization and load the numbers. Note that the contents of the error buffers will be repeatedly updated throughout the printing process, as new error values are computed from actual image data. No significant per-pixel computations would be required at run time to implement the invention.

Once the error buffers are loaded, the error diffusion process used by the reproduction system begins at 36. For current systems using error diffusion, implementation of the invention could also be performed as a software upgrade. Software on some sort of computer readable medium would be used to provide the printing system with the capabilities of the invention. The computer, in this case the printing system processor and its associated

memory, would receive the code via the medium. The code would include code to perform the tasks of the invention as set out in Figure 3. This process can be applied in any digital image reproduction system using error diffusion.

5 This invention could also be used in conjunction with error diffusion systems that work with other output devices, such as CRTs or LCDs, having a lower number of bits of signal precision than the number of bits used to represent the image. These systems use error diffusion to reduce the quantization error in going from the higher precision of the input image representation to the lower precision of the output display representation. E.g., an LCD driven by a 4-bit deep frame buffer provides 16 output levels. Error diffusion can be
10 used to convert an 8-bit image into 4 bits. This invention can be used to improve the startup behavior of error diffusion used in this kind of application, as well as in printing applications.

However the invention is provided to printing systems, application of the invention will allow the system to take advantage of the error diffusion process without introducing any additional artifacts into the printed image. Application of the invention will mitigate or
15 eliminate any periodic dot patterns that may occur with the use of error diffusion when applied to computer generated images, particularly ones having light tinted backgrounds.

Thus, although there has been described to this point a particular embodiment for a method and apparatus for controlling the start up behavior of printing systems using error diffusion, it is not intended that such specific references be considered as limitations upon the
20 scope of this invention except in-so-far as set forth in the following claims.

WHAT IS CLAIMED IS:

1. A method for initializing a digital image reproduction system using error diffusion, the method comprising:
 - a) initializing a first error buffer with a first set of seed values, wherein at least one of the seed values varies numerically from others of the seed values; and
 - b) using the seed values in the error buffer to start an error diffusion process.
2. The method of claim 1 wherein the method further comprises initializing at least one other error buffer with a different set of seed values.
3. The method of claim 1 wherein the first set of seed values is generated using random noise.
4. The method of claim 1 wherein the first set of seed values are generated using pseudo-random noise.
5. The method of claim 2 wherein the other set of seed values contains values negatively correlated with the values of the first set.
6. The method of claim 1 wherein the initializing is performed in hardware.
7. The method of claim 1 wherein the initializing is performed at initialization of the printing system.
8. A computer readable medium, the medium containing software code comprising:
 - a) code for initializing a first error buffer with a first set of seed values;
 - b) code for using the seed values to start an error diffusion process.
9. The medium of claim 8, wherein the software code further comprises code to initialize at least one other error buffer with a different set of seed values.
10. The computer readable medium of claim 7, wherein the medium is a compact disc.
11. The computer readable medium of claim 7, wherein the medium is a downloadable file.
12. A method for initializing a digital image reproduction system using error diffusion, the method comprising:
 - a) initializing a first error buffer with a first set of seed values;
 - b) initializing at least one other error buffer with an alternate set of seed values, wherein the alternate set of seed values varies numerically from the first set of seed values; and
 - c) using the seed values in the error buffer to start an error diffusion process.
13. The method of claim 12 wherein the first set of seed values further comprises replicas of a constant.

14. The method of claim 13 wherein the alternate set of seed values comprises replicas of a different constant than that used in the first set.

15. The method of claim 12 wherein the at least one other error buffer further comprises three error buffers, wherein each set of seed values in each buffer further comprise replicas of

5 constants, each buffer having a different constant.

METHOD OF IMPROVING START-UP BEHAVIOR FOR COLOR ERROR DIFFUSION DIGITAL HALF-TONING

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ABSTRACT

A method for initializing a printing system using error diffusion. In application of the invention to color printing systems, the method initializes a first error buffer with a first set of seed values and then initializes at least one other error buffer with another set of seed values. The other set of seed values is negatively correlated with respect to the first set of seed values in the first error buffer. The method then uses the seed values in all the error buffers to start an error diffusion process. For monochrome systems, only one error buffer is initialized with random numbers.

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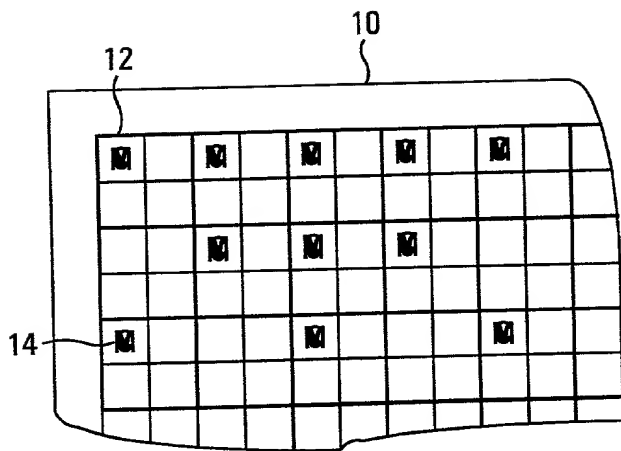


FIG.1

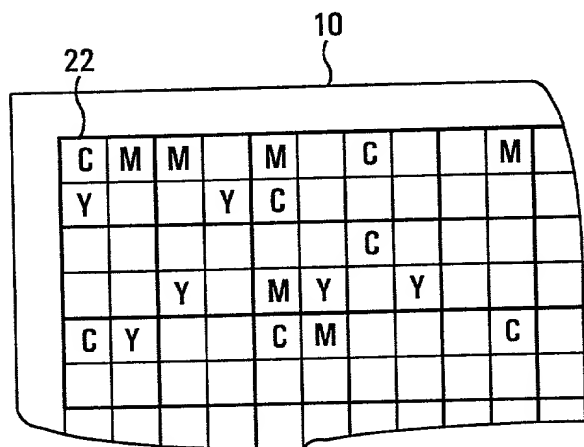


FIG.2

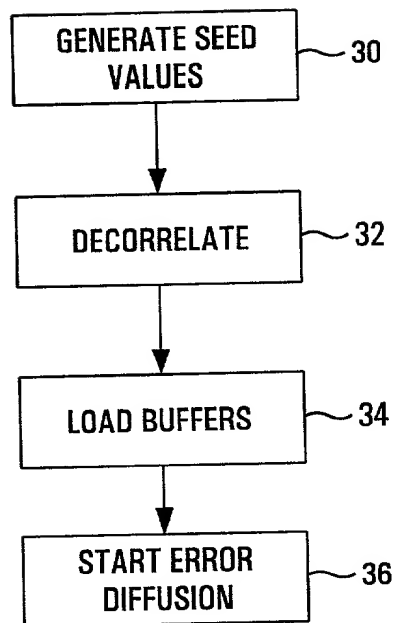


FIG.3

COMBINED DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **METHOD OF IMPROVING START-UP BEHAVIOR FOR COLOR ERROR DIFFUSION DIGITAL HALFTONING**, the specification of which:

☒ is attached hereto.
☐ was filed on _____ as Application No. _____
☐ and was amended on _____ (if applicable)
☐ with amendments through _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Sec. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Sec. 119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Claiming Priority?	
			<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____		

I hereby claim the benefit under Title 35, United States Code, Sec. 119(e) of any United States provisional application listed below:

Provisional Application No.

Filing Date

60/160,360

October 18, 1999

I hereby claim the benefit under Title 35, United States Code, Sec. 120 or §365(c) of any PCT international application designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Sec. 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>(Application No.)</u>	<u>(Filing Date)</u>	<u>(Status) (patented, pending, abandoned)</u>
--------------------------	----------------------	--

I hereby appoint the following attorneys to prosecute the application, to file a corresponding international application, to prosecute and transact all business in the Patent and Trademark Office connected therewith:

Customer No. 20575

<u>Attorney Name</u>	<u>Registration No.</u>
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Julie L. Reed
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Portland, Oregon 97205

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole inventor: **John Charles Dalrymple**

Inventor's signature: *John C. Dalrymple*

9/18/2000
(Date)

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